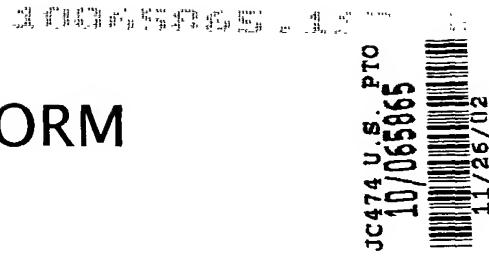


**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 20290  
Application ID: 10065865  
Title of Invention: SYSTEM AND METHOD FOR  
PROVIDING ASSET MANAGEMENT  
AND TRACKING CAPABILITIES  
First Named Inventor: John GUI  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-11-26   
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 61765.005008  
Digital Certificate Holder: cn=Robin Clark, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: j9OuyCexooGA+IniqNCTUw==  
Total Fees Authorized: \$1860.0

Payment Category: DA – Deposit Account  
Deposit Account Number: 500206  
Deposit Account Name: Robin C. Clark



# TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Utility  
Patent Filing

Attorney Docket  
Number:

61765.005008

## SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT AND TRACKING CAPABILITIES

First Named Inventor: Mr. John Yupeng GUI

**SUBMITTED BY**

Name: Mr. Robin C. Clark  
Registration Number: 40956  
Electronic Signature Mark: rclark41 Date Signed: 20021126

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

**Attached Files:**

declaration	dec1.tif
declaration	dec2.tif
bibd-transmittal	GRC5008apds.xml

specification	<a href="#">SPECIFICATION.xml</a>
patent-assignments	<a href="#">GRC5008asgn.xml</a>
fee-transmittal	<a href="#">GRC5008fee.xml</a>

**Attached Image File(s):**

[dec1.tif](#)

[dec2.tif](#)

**Comments:**

**JOINT DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names;

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought or invention entitled **SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT AND TRACKING CAPABILITIES**, the specification of which is as follows:

is attached hereto.  
 was filed on \_\_\_\_\_ as Application Serial Number \_\_\_\_\_ and was  
amended on \_\_\_\_\_  
(if applicable)

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims amended by any amendment referred to in this declaration.

We acknowledge the duty to disclose all information known to us to be material to the patentability of this application, as defined in 37 C.F.R. § 1.56.

We acknowledge the duty to disclose to the Office all information known to us to be material to patentability as defined in § 1.56, which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in application.

**Prior Foreign Application(s)**

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before the application on which priority is claimed:

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed Under 35 U.S.C. 119
				Yes <input type="checkbox"/> No <input type="checkbox"/>
				Yes <input type="checkbox"/> No <input type="checkbox"/>

**Prior United States Provisional Application(s)**

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below

Application Serial Number	Date of Filing (day, month, year)

**Prior United States Application(s)**

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

Application Serial Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned
10/064,665	8/5/2002	Pending

**HUNTON & WILLIAMS**  
1900 K Street, N.W., Suite 1200  
Washington, D.C. 20006-1109

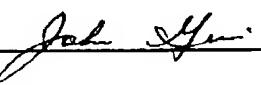
Attorney Docket No. 61765.00  
GRC Docket No. RD-30

And we hereby appoint, both jointly and severally, as our attorneys with full power of substitution and revocation, to prosecute application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names:

Stanislaus Akerman, Registration No. 28,582; Jennifer A. Albert, Registration No. 32,012; Thomas E. Anderson, Registration No. 37,063; David E. Baker, Registration No. 42,285; Scott D. Balderston, Registration No. 35,438; Carl L. Benson, Registration No. 38,378; Brian R. Buroker, Registration No. 39,125; Christopher C. Campbell, Registration No. 37,291; Robin C. Clark, Registration No. 40,956; Patrick A. Doody, Registration No. 46,704; Kevin T. Duncan, Registration No. 41,495; Ozzie Farres, Registration No. 43,806; Nancy Flint, Registration No. 45,913; Herbert V. Kerner, Registration No. 42,721; Jonathan D. Link, Registration No. 41,548; Tyler Maddry, Registration No. 40,074; J. Michael Martinez, Registration No. 31,178; David H. Milligan, Registration No. 42,893; James R. Miner, Registration No. 40,444; Kerry L. Owens, Registration No. 37,412; Andrew J. Rirke, Registration No. 45,597; Stephen T. Schreiner, Registration No. 43,087; Robert M. Schulman, Registration No. 31,198; Thomas J. Scott, Jr., Registration No. 27,838; Yisun Song, Registration No. 44,487; Samson Verm, Registration No. 42,202; and Scott F. Yarnell, Registration No. 45,245.

All correspondence and telephone communications should be addressed to Hunton & Williams, 1900 K Street, N.W., Washington, 20006-1109, telephone number (202) 955-1500, which is also the address and telephone number of each of the above listed attorneys.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and it are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements jeopardize the validity of the application or any patent issuing thereon.

Signature 

Date 11-21-2002

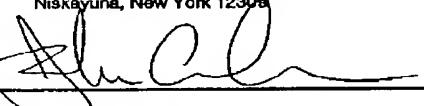
Full Name of  
Second Inventor      GUI  
Family Name      John  
First Given Name

Yupeng  
Second Given Name

Residence Niskayuna, New York

Citizenship United States

Post Office  
Address 10 Oak Tree Lane  
Niskayuna, New York 12308

Signature 

Date Nov 21 2002

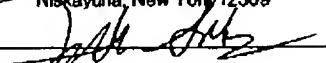
Full Name of  
First Inventor      CARBONE  
Family Name      John  
First Given Name

William  
Second Given Name

Residence Niskayuna, New York

Citizenship United States

Post Office  
Address 944 Riverview Road  
Niskayuna, New York 12308

Signature 

Date 11-21-2002

Full Name of  
Third Inventor      SALVO  
Family Name      Joseph  
First Given Name

Second Given Name

Residence Schenectady, New York

Citizenship United States

Post Office  
Address 1155 Avon Road  
Schenectady, New York 12308

Signature \_\_\_\_\_

Date

**HUNTON & WILLIAMS**  
1900 K Street, N.W., Suite 1200  
Washington, D.C. 20006-1109  
Tel. (202) 955-1500

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1860**

**The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:**

Deposit Account Number: 50-0206



Deposit Account Name: Hunton and Williams

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

**SUBMITTED BY**

Authorized Name: Robin C. Clark

Electronic Signature Mark: rclark41

Date Signed: 20021126

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	1001	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 66	1202	\$ 18	46	\$ 828
Independent Claims: 6	1201	\$ 84	3	\$ 252

Subtotal For Extra Claims Fees: \$ 1080

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	8021	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40